


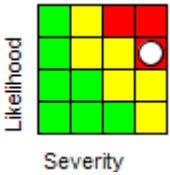
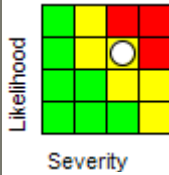



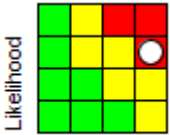
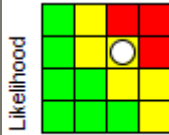
# Corporate Risk Register 2019/20


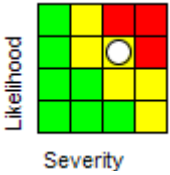
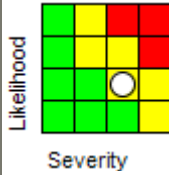
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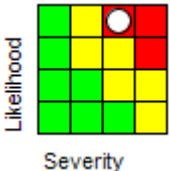
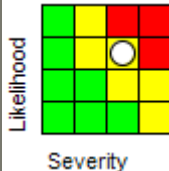
<b>Risk Code</b>	CPR1920 1	<b>Risk Title</b>	Finance	<b>Current Risk Status</b>	
<b>Description of Risk</b>	To ensure that the Council is financially sustainable as an organisation			<b>Assigned To</b>	
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>				<b>Current Risk Score</b>	
<b>Gross Severity</b>				<b>Current Severity</b>	
<b>Gross Likelihood</b>				<b>Current Likelihood</b>	
<b>Gross Risk Review Date</b>				<b>Last Risk Review Date</b>	
<b>Consequences</b>					
<b>Vulnerabilities/causes</b>					
<b>Risk Notes</b>					


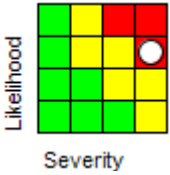
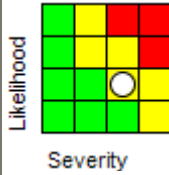
<b>Risk Code</b>	CPR1920 1.1	<b>Risk Title</b>	Funding gaps	<b>Current Risk Status</b>	
<b>Description of Risk</b>				<b>Assigned To</b>	Stefan Garner; Lynne Pugh
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>			
<b>Gross Risk Score</b>	12	Medium term financial strategy in place - approved annually	<b>Current Risk Matrix</b>		
<b>Gross Severity</b>	4	Quarterly healthcheck to CMT / Cabinet including MTFS update	<b>Current Risk Score</b>	9	
<b>Gross Likelihood</b>	3	Business Rates Collection Reserve - provision of reserve funding to mitigate impact of any changes in business rate income levels	<b>Current Severity</b>	3	
<b>Gross Risk Review Date</b>	29-Mar-2019	Monitoring of the situation / regular reporting	<b>Current Likelihood</b>	3	
		A robust & critical review of savings proposals is required / undertaken before inclusion within the forecast	<b>Last Risk Review Date</b>	17-Jan-2020	
<b>Consequences</b>	<p>Inability to plan long term due to uncertainty over future Local Government funding arising from the Fair Funding Review, the planned business rates reset and the revised business rates retention scheme from 2021/22.</p> <p>Announcements as part of Spending Round 2019 that the Review of the distribution methodology, the 'Fair Funding Review' as well as the planned Business Rates Reset will be now be reviewed as part of the Comprehensive Spending Review now planned for 2020 for 2021/22.</p> <p>There is a high risk that this will have a significant effect on the Council's funding level.</p> <p>Increased risks associated with those Councils who are borrowing large sums to invest in commercial property activities.</p> <p>Shortfall in DFG grant funding / impact on General Fund revenue</p>				


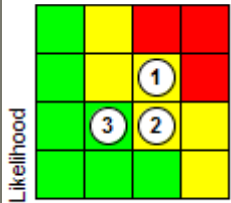
<p><b>Vulnerabilities/causes</b></p>	<p>Austerity cuts/Major variances to the level of grant/subsidy</p> <p>Business rates retention – deferral of the 75% retention of business rates from 2020/21 to 2021/22 (rather than 100% as previously planned).</p> <p>Review of the distribution methodology, the 'Fair Funding Review' as well as the planned Business Rates Reset (when a proportion of the growth in business rates achieved since 2013/14 will be redistributed) together with the Spending Review 2019 - which was planned to take effect from 2020/21.</p> <p>Announcements as part of Spending Round 2019 that the Review of the distribution methodology, the 'Fair Funding Review' as well as the planned Business Rates Reset will be now be reviewed as part of the Comprehensive Spending Review now planned for 2020 for 2021/22.</p> <p>In addition, the next planned national <b>Business Rates Revaluation</b> will take effect from 2021/22 – with latest indications that the Government will also aim to introduce a <b>centralised system for business rate appeals</b> at the same time to cover future changes arising from the 2021 valuation list</p> <p>Non achievement/delivery of substantial savings</p> <p>Review of the Treasury Management Investment Guidance / Minimum Revenue Provision Guidance has been carried out by DCLG - however, planned CIPFA review of Prudential code may lead to a potential restriction of investments by Councils given increased risk exposure.</p> <p>Disabled Facilities Grants - increased demand / costs not in line with grant levels impacting on other funding sources, uncertainty over funding.</p>
<p><b>Risk Notes</b></p>	

<b>Risk Code</b>	CPR1920 1.2	<b>Risk Title</b>	Business Rates Retention	<b>Current Risk Status</b>	
<b>Description of Risk</b>				<b>Assigned To</b>	Stefan Garner; Lynne Pugh
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>			
<b>Gross Risk Score</b>	12	Robust estimates included to arrive at collection target. Ongoing proactive management & monitoring will continue  Business Rates Collection Reserve - provision of reserve funding to mitigate impact of any changes in business rate income levels	<b>Current Risk Matrix</b>		
<b>Gross Severity</b>	4		<b>Current Risk Score</b>	9	
<b>Gross Likelihood</b>	3		<b>Current Severity</b>	3	
<b>Gross Risk Review Date</b>	29-Mar-2019	Prudent approach to forecasting of Government Funding (NNDR/RSG/New Homes Bonus) post 2021/22 - including redistribution of growth in business rates since 2013	<b>Current Likelihood</b>	3	
<b>Last Risk Review Date</b>			<b>Last Risk Review Date</b>	17-Jan-2020	
<b>Consequences</b>	Reduced levels of business rates income and impact on MTFS				
<b>Vulnerabilities/causes</b>	<p>Uncertainty over level of appeals following 2017 Revaluation and implementation of new approach to 'Check, Challenge and appeal' Impact on collection levels Uncertainty / changes in S31 grants Void property levels Uncertainty due to:</p> <p>Business rates retention – deferral of the 75% retention of business rates from 2020/21 to 2021/22 (rather than 100% as previously planned).</p> <p>Review of the distribution methodology, the 'Fair Funding Review' as well as the planned Business Rates Reset (when a proportion of the growth in business rates achieved since 2013/14 will be redistributed) together with the Spending Review 2019 - which was planned to take effect from 2020/21.</p> <p>Announcements as part of Spending Round 2019 that the Review of the distribution methodology, the 'Fair Funding Review' as well as the planned Business Rates Reset will be now be reviewed as part of the Comprehensive Spending Review now planned for 2020 for 2021/22.</p> <p>In addition, the next planned national <b>Business Rates Revaluation</b> will take effect from 2021/22 – with latest indications that the Government will also aim to introduce a <b>centralised system for business rate appeals</b> at the same time to cover future changes arising from the 2021 valuation list</p>				
<b>Risk Notes</b>					


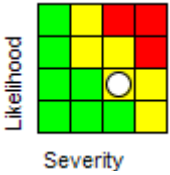
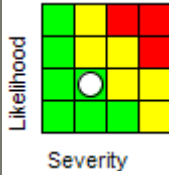
<b>Risk Code</b>	CPR1920 1.3	<b>Risk Title</b>	New Homes Bonus	<b>Current Risk Status</b>	
<b>Description of Risk</b>				<b>Assigned To</b>	Stefan Garner; Lynne Pugh
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>			
<b>Gross Risk Score</b>	9	Given uncertainty over the review of the distribution methodology, the 'Fair Funding Review' as well as the planned Spending Review 2019 - which was planned take effect from 2020/21 a revised risk based approach was introduced in 2018 for 2019/20 onwards.	<b>Current Risk Matrix</b>		
<b>Gross Severity</b>	3		<b>Current Risk Score</b>	6	
<b>Gross Likelihood</b>	3		<b>Current Severity</b>	3	
<b>Gross Risk Review Date</b>	29-Mar-2019		<b>Current Likelihood</b>	2	
<b>Gross Risk Review Date</b>		Announcements as part of Spending Round 2019 that NHB scheme will be reviewed as part of the Comprehensive Spending Review now planned for 2020 - also, legacy funding for the 4 years to 2019/20 will be paid, as well as continuation of the scheme for 2020/21 onwards will not be guaranteed pending the review.	<b>Last Risk Review Date</b>	17-Jan-2020	
<b>Consequences</b>	Reduced levels of New Homes Bonus grant funding & Growth in Council tax Income				
<b>Vulnerabilities/causes</b>	Uncertainty over the ongoing funding for the <b>New Homes Bonus scheme</b> , local growth in housing numbers and share of the national pool (including potential increases to the 'deadweight' for which Council's no longer receive grant). Deadweight confirmed unchanged at 0.4% for 2020/21. Announcements as part of Spending Round 2019 that NHB scheme will be reviewed as part of the Comprehensive Spending Review now planned for 2020 - also, legacy funding for the 4 years to 2019/20 will be paid, as well as continuation of the scheme for 2020/21 onwards will not be guaranteed pending the review				
<b>Risk Notes</b>					


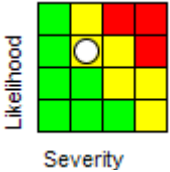
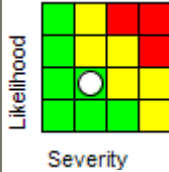
<b>Risk Code</b>	CPR1920 1.5	<b>Risk Title</b>	Welfare and Benefit Reform	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Welfare and Benefit Reform			<b>Assigned To</b>	Rob Barnes; Stefan Garner; Tina Mustafa; Lynne Pugh
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Proactive approach to management of Homelessness Proactive management & monitoring of corporate income levels i.e. council tax, business rates (monthly review of target achievement) & housing rent Extensive preparation including staff training Welfare reform group established to review approach to corporate debt and financial inclusion - Complete Independent health check developed HQN - Complete Regular CMT, Cabinet and Scrutiny Committee updates CAB approach post 1/4/19 now in place - Complete Corporate project group established - Complete Proactive identification and system of administrative issues with ministers and MPs - Complete	<b>Current Risk Score</b>	9	
<b>Gross Severity</b>	3		<b>Current Severity</b>	3	
<b>Gross Likelihood</b>	4		<b>Current Likelihood</b>	3	
<b>Gross Risk Review Date</b>	29-Mar-2019		<b>Last Risk Review Date</b>	17-Jan-2020	
<b>Consequences</b>	Reduced income / increased bad debts - forecasts adjusted Additional impact arising from increased need for services - eg homelessness Greater demand on 3rd sector and statutory agency services Additional resource requirement to meet demand				
<b>Vulnerabilities/causes</b>	Reduced income corporately due to welfare reform changes (including council tax support scheme and Universal Credit with further austerity measures from Welfare Reform Act 2015) - impact on council tax, rent income etc Implementation of Government policy at local level - Impact of universal Credit being assessed Household hardship PBS/ADS transferred to CAB from 1/4/19				
<b>Risk Notes</b>	Leader and scrutiny supported letters to DWP around the impact of Universal Credit Identified as a Corporate project 27/3/19 and risks being managed				


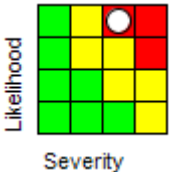
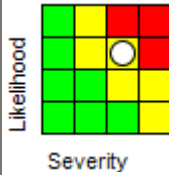
<b>Risk Code</b>	CPR1920 1.6	<b>Risk Title</b>	Failure to manage budgets	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Failure to manage budgets			<b>Assigned To</b>	Stefan Garner; Lynne Pugh
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Budget planning & monitoring (Monthly report to CMT) Training Monthly budget monitoring meetings with Accountants and Managers Budget monitoring information available through Collaborative Planning (updated monthly) Annual review of unspent budgets feeds into budget setting process Training sessions for new manager rolled out in 2019 with more planned for 2020	<b>Current Risk Score</b>	6	
<b>Gross Severity</b>	4		<b>Current Severity</b>	3	
<b>Gross Likelihood</b>	3		<b>Current Likelihood</b>	2	
<b>Gross Risk Review Date</b>	29-Mar-2019		<b>Last Risk Review Date</b>	17-Jan-2020	
<b>Consequences</b>	Cuts in front line service provision Quality of service declines Inability to meet on-going costs Budget overspends/underspends Reputational issues				
<b>Vulnerabilities/causes</b>	Lack of involvement / ownership by Managers Information not updated / provided on a regular basis				
<b>Risk Notes</b>					


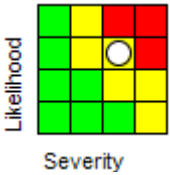
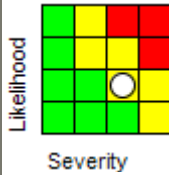
<b>Risk Code</b>	CPR1920 2	<b>Risk Title</b>	Modernisation & Commercialisation Agenda	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Develop and implement continuous improvement and develop employees to perform the right work		<b>Assigned To</b>		
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	 <p>Likelihood</p> <p>Severity</p>
<b>Gross Risk Score</b>				<b>Current Risk Score</b>	
<b>Gross Severity</b>				<b>Current Severity</b>	
<b>Gross Likelihood</b>				<b>Current Likelihood</b>	
<b>Gross Risk Review Date</b>				<b>Last Risk Review Date</b>	
<b>Consequences</b>					
<b>Vulnerabilities/causes</b>					
<b>Risk Notes</b>					




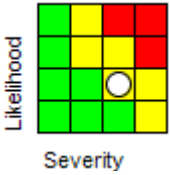
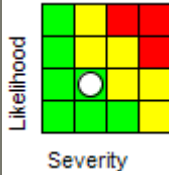
<b>Risk Code</b>	CPR1920 2.1	<b>Risk Title</b>	Contract Management & Procurement	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Contract Management & Procurement			<b>Assigned To</b>	Stefan Garner; Lynne Pugh
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	6	Procurement function Financial Guidance requirements / Contract standing orders in place Contracts review under GDPR legislation to ensure due diligence and obligations met Training for new managers rolled out in 2019 with more planned for 2020		<b>Current Risk Score</b>	4
<b>Gross Severity</b>	3		<b>Current Severity</b>	2	
<b>Gross Likelihood</b>	2		<b>Current Likelihood</b>	2	
<b>Gross Risk Review Date</b>	29-Mar-2019		<b>Last Risk Review Date</b>	17-Jan-2020	
<b>Consequences</b>	Services not delivered Damage to reputation Loss of quality service High exit costs Efficiencies not gained Regulations not met				
<b>Vulnerabilities/causes</b>	Failure to meet service delivery expectations Partner has financial failure Service delivery collapses Third party supply chain failure Contractor/partner under performs Failure to assess and manage the risks arising from the use of third parties Benefit not realised				
<b>Risk Notes</b>					


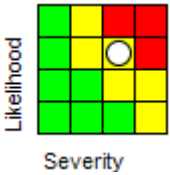
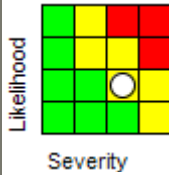
<b>Risk Code</b>	CPR1920 2.2	<b>Risk Title</b>	Management of Assets	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Management of Assets			<b>Assigned To</b>	Rob Barnes; Stefan Garner; Lynne Pugh; Paul Weston
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	6	Asset Strategy Steering Group in place qrtly meetings Asset register updated regularly Potential to purchase land in other districts for development (subject to Potential government restraints in the future) Development of longer term Corporate Capital Strategy and Asset Management Planning including potential acquisition, investment and disposal Proactive approach to respond to emerging fire risk requirements i.e. High rise Flats Ensure programmes and projects are appropriately resourced.	<b>Current Risk Score</b>	4	
<b>Gross Severity</b>	2		<b>Current Severity</b>	2	
<b>Gross Likelihood</b>	3		<b>Current Likelihood</b>	2	
<b>Gross Risk Review Date</b>	15-Jan-2019		<b>Last Risk Review Date</b>	30-Jan-2020	
<b>Consequences</b>	Assets under utilised Income streams not maximised Decrease in asset value				
<b>Vulnerabilities/causes</b>	Assets not monitored Assets not maintained No land available for development opportunities				
<b>Risk Notes</b>					


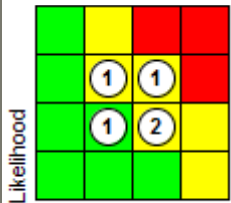
<b>Risk Code</b>	CPR1920 2.3	<b>Risk Title</b>	New Revenue Streams	<b>Current Risk Status</b>	
<b>Description of Risk</b>	New Revenue Streams			<b>Assigned To</b>	Stefan Garner; Lynne Pugh
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Monitoring of the situation / regular reporting Implementation of planned / potential investment and consequential income streams A robust & critical review of investment proposals is required / undertaken Develop commercial skills	<b>Current Risk Score</b>	9	
<b>Gross Severity</b>	3		<b>Current Severity</b>	3	
<b>Gross Likelihood</b>	4		<b>Current Likelihood</b>	3	
<b>Gross Risk Review Date</b>	29-Mar-2019		<b>Last Risk Review Date</b>	17-Jan-2020	
<b>Consequences</b>	Increased risks associated with those Councils who are borrowing large sums to invest in commercial property activities				
<b>Vulnerabilities/causes</b>	Delivery of the planned Commercial Investment Strategy actions and associated improved investment returns of 4% p.a. arising from the investment of £24m from the capital receipt received over the period 2016 – 2018 from the sale of the former golf course (to support the MTFS in the long term); Review of the Treasury Management Investment Guidance / Minimum Revenue Provision Guidance has been carried out by DCLG - Cipfa currently reviewing the Prudential Code with a potential restriction of investments by Councils given increased risk exposure. From 9/10/19 PWLB increased the interest rates offered on new Public Works Loan Board by 1% on top of existing loans terms				
<b>Risk Notes</b>	Develop Commercial skills and action plan for commerciality				

<b>Risk Code</b>	CPR1920 2.4	<b>Risk Title</b>	Workforce Planning Challenges	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Workforce Planning Challenges			<b>Assigned To</b>	Anica Goodwin; Zoe Wolicki
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	9	Service reviews as required Regular communication Regular ELT briefings Essential legislative/compliance/CPD Skills development HR policies and procedures reviewed Financial regulations/procedures Healthshield and occupational health Pre employment checks Gender pay reporting Regular updates with Trade Unions Workforce plan succession planning OD Strategy PDRs	<b>Current Risk Score</b>	6	
<b>Gross Severity</b>	3		<b>Current Severity</b>	3	
<b>Gross Likelihood</b>	3		<b>Current Likelihood</b>	2	
<b>Gross Risk Review Date</b>	11-Jan-2020		<b>Last Risk Review Date</b>	11-Jan-2020	
<b>Consequences</b>	Strain on remaining staff Risk to service delivery Industrial action Increase in fraud Increase in grievances from staff Inability to align skill levels Pay and conditions below market conditions Increased absence rates Failure to manage change				
<b>Vulnerabilities/causes</b>	Staff become overloaded Low morale has impact on service delivery Industrial unrest Redundancy costs Failure to communicate effectively High sickness levels Leadership capacity insufficient to drive change & transformation Senior management review				


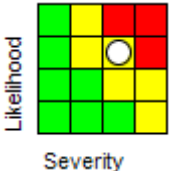
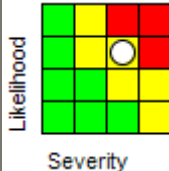
	Inequality Unable to recruit to essential vacant posts Inability to deliver key projects Key officers diverted to other new commitments
<b>Risk Notes</b>	No Changes

<b>Risk Code</b>	CPR1920 2.5	<b>Risk Title</b>	Continuous Improvement	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Continuous Improvement			<b>Assigned To</b>	Andrew Barratt; Anica Goodwin
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	6	Clear communications re change Robust policies and procedures Effective project management On-going transformational programmes Post Implementation Reviews Leadership Long term planning for continuous improvement clarity of strategies/ purpose Peer Challenge Membership of professional bodies Relationships with Members	<b>Current Risk Score</b>	4	
<b>Gross Severity</b>	3		<b>Current Severity</b>	2	
<b>Gross Likelihood</b>	2		<b>Current Likelihood</b>	2	
<b>Gross Risk Review Date</b>	11-Jan-2020		<b>Last Risk Review Date</b>	11-Jan-2020	
<b>Consequences</b>	Change is not completed No strategic direction Inability to deliver key projects				
<b>Vulnerabilities/causes</b>	No clarity around responsibilities and accountabilities Lack of leadership Work overload				
<b>Risk Notes</b>	No changes				


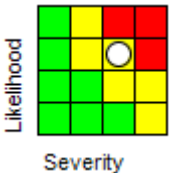
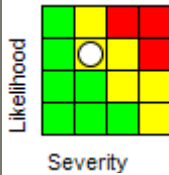
<b>Risk Code</b>	CPR1920 2.6	<b>Risk Title</b>	Partnerships fail	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Partnerships			<b>Assigned To</b>	Rob Barnes; Joanne Sands
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	9	Regular partnership service meetings Engagement with service realignments Development of collaborative working TSP Partnership Coordination Group Development and delivery of shared plans and programmes		<b>Current Risk Score</b>	6
<b>Gross Severity</b>	3		<b>Current Severity</b>	3	
<b>Gross Likelihood</b>	3		<b>Current Likelihood</b>	2	
<b>Gross Risk Review Date</b>	16-Oct-2018		<b>Last Risk Review Date</b>	30-Jan-2020	
<b>Consequences</b>	Inability to provide service to the required level Loss of service Lack of skilled resources				
<b>Vulnerabilities/causes</b>	Partnerships fail Inability to provide resources to partnership service arrangements Service arrangements provided by other partners cease				
<b>Risk Notes</b>	No Changes Partnership Co-ordination group established				


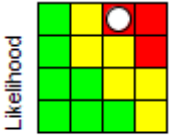
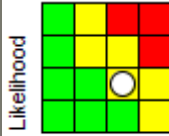
<b>Risk Code</b>	CPR1920 4	<b>Risk Title</b>	Governance	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Ensure that processes, policies and procedures are in place and the authority is held to account		<b>Assigned To</b>		
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>				<b>Current Risk Score</b>	
<b>Gross Severity</b>				<b>Current Severity</b>	
<b>Gross Likelihood</b>				<b>Current Likelihood</b>	
<b>Gross Risk Review Date</b>				<b>Last Risk Review Date</b>	
<b>Consequences</b>					
<b>Vulnerabilities/causes</b>					
<b>Risk Notes</b>					


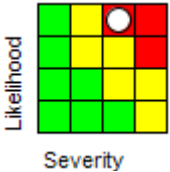
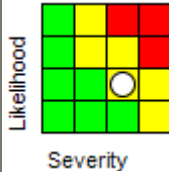



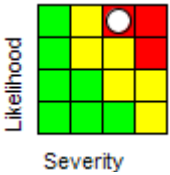
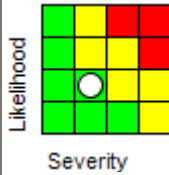
<b>Risk Code</b>	CPR1920 4.1	<b>Risk Title</b>	Democratic Process	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Democratic Process			<b>Assigned To</b>	Andrew Barratt; Anica Goodwin; Ryan Keyte; Rebecca Neill; Angela Struthers
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	9	Regular review and update of Constitution Scheme of Delegation Forward plan and key decisions Meetings open to the public Training for Members Audit & Governance Committee including Standards Committee) Scrutiny Committees Constitution increased access to services increase use of technology Reference to 'Every Voice Matters' Member Induction		<b>Current Risk Score</b>	9
<b>Gross Severity</b>	3			<b>Current Severity</b>	3
<b>Gross Likelihood</b>	3			<b>Current Likelihood</b>	3
<b>Gross Risk Review Date</b>	11-Jan-2020			<b>Last Risk Review Date</b>	11-Jan-2020
<b>Consequences</b>	Damage to reputation Legal challenge Damage to reputation Financial impact on poor decisions Increase of "call ins"				
<b>Vulnerabilities/causes</b>	Failure to match social and political expectations Failure to act on feedback Ultra vires decisions Lack of training/knowledge Lack of documented procedures Lack of commitment from officers and members Failure to understand key decisions, legal/governance requirements Inappropriate decision making Changes to political control Members resign from duties/as a member				
<b>Risk Notes</b>	No Change				


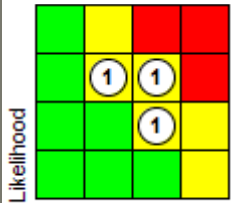



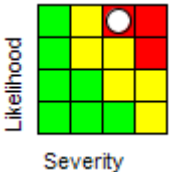
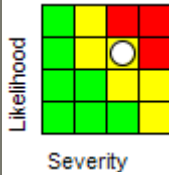
<b>Risk Code</b>	CPR1920 4.2	<b>Risk Title</b>	Assurance Process	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Assurance Process			<b>Assigned To</b>	Andrew Barratt; Stefan Garner; Rebecca Neill; <del>Angela Struthers</del>
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	9	Internal Audit External Audit Audit & Governance Committee including Standards Committee Scrutiny Committees Annual Governance Statement & Managers Assurance Statements Corporate fraud officer Section 151 Officer Monitoring Officer Policies and procedures		<b>Current Risk Score</b>	6
<b>Gross Severity</b>	3			<b>Current Severity</b>	2
<b>Gross Likelihood</b>	3			<b>Current Likelihood</b>	3
<b>Gross Risk Review Date</b>	04-Sep-2018			<b>Last Risk Review Date</b>	30-Jan-2020
<b>Consequences</b>	Erosion in trust and confidence Reputational damage Lack of training/knowledge Lack of documented procedures Lack of commitment from officers and members Damage to reputation Increased demand for resources Poor inspection/audit comments				
<b>Vulnerabilities/causes</b>	Policies and procedures not followed Lack of resources Policies are not updated				
<b>Risk Notes</b>	No Change The risks have been reviewed by Andrew Barratt and he established that there are no changes to the Matrix required				

<b>Risk Code</b>	CPR1920 4.3	<b>Risk Title</b>	Legislation	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Legislation			<b>Assigned To</b>	Rob Barnes; Andrew Barratt; Stefan Garner; Anica Goodwin; Ryan Keyte
<b>Gross Risk Matrix</b>	 Likelihood Severity	<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	 Likelihood Severity
<b>Gross Risk Score</b>	12	Constitution & Scheme of Delegation with regular review and update Shared Legal Service Obligations under various legislation Monitoring of government reforms and changes in statute CPD Training Horizon Scanning consultation updates Membership of Professional Bodies Proactive monitoring of potential legislative changes and consultations		<b>Current Risk Score</b>	6
<b>Gross Severity</b>	3			<b>Current Severity</b>	3
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	2
<b>Gross Risk Review Date</b>	11-Jan-2020			<b>Last Risk Review Date</b>	11-Jan-2020
<b>Consequences</b>	Non-compliance with legal requirements Damage to reputation Prosecution, fines Legal challenge Ultra vires decisions				
<b>Vulnerabilities/causes</b>	Lack of training/knowledge Lack of documented procedures Lack of commitment from officers and members Non compliance with legislation Lack of resources Loss of key staff/members				
<b>Risk Notes</b>	No Change The risks have been reviewed by Andrew Barratt and he established that there are no changes to the Matrix required				

<b>Risk Code</b>	CPR1920 4.4	<b>Risk Title</b>	Policies & Procedures	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Policies & Procedures			<b>Assigned To</b>	Corporate Management Team; Rebecca Neill; Angela Struthers
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Constitution & Scheme of Delegation with regular review and update Regular review and update of financial guidance Audit & Governance Committee including Standards Committee) Scrutiny Committees Annual Governance Statement & Managers Assurance Statements Whistleblowing Policy & Counter Fraud Policy Money Laundering Policy Section 151 Officer Monitoring Officer Partnership Guidance Policy NetConsent for policy management and acceptance RIPA Policy & staff training Data Protection Policy/Cyber Awareness & staff training GDPR Astute - e-learning		<b>Current Risk Score</b>	6
<b>Gross Severity</b>	3			<b>Current Severity</b>	3
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	2
<b>Gross Risk Review Date</b>	05-Sep-2018			<b>Last Risk Review Date</b>	30-Jan-2020
<b>Consequences</b>	Fraud Poor performance				
<b>Vulnerabilities/causes</b>	Lack of training/knowledge Lack of documented procedures Lack of commitment from officers and members Lack of resources				
<b>Risk Notes</b>					


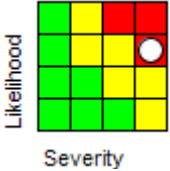
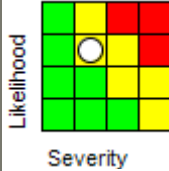
<b>Risk Code</b>	CPR1920 4.5	<b>Risk Title</b>	Ethics	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Ethics			<b>Assigned To</b>	Andrew Barratt; Rebecca Neill; <del>Angela Struthers</del>
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Monitoring Officer Declaration of interests, gifts & hospitality Codes of Conduct for members and officers Policies and procedures		<b>Current Risk Score</b>	4
<b>Gross Severity</b>	3			<b>Current Severity</b>	2
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	2
<b>Gross Risk Review Date</b>	10-Oct-2018			<b>Last Risk Review Date</b>	30-Jan-2020
<b>Consequences</b>	Reputational damage				
<b>Vulnerabilities/causes</b>	Lack of training/knowledge Lack of documented procedures Lack of commitment from officers and members Lack of resources				
<b>Risk Notes</b>	No change				
	The risks have been reviewed by Andrew Barratt and he established that there are no changes to the Matrix required				


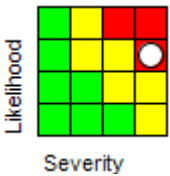
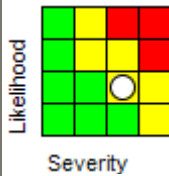
<b>Risk Code</b>	CPR1920 5	<b>Risk Title</b>	Community Focus	<b>Current Risk Status</b>	
<b>Description of Risk</b>	To ensure the safety, health and wellbeing of the citizens of the borough			<b>Assigned To</b>	
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>				<b>Current Risk Score</b>	
<b>Gross Severity</b>				<b>Current Severity</b>	
<b>Gross Likelihood</b>				<b>Current Likelihood</b>	
<b>Gross Risk Review Date</b>				<b>Last Risk Review Date</b>	
<b>Consequences</b>					
<b>Vulnerabilities/causes</b>					
<b>Risk Notes</b>					

<b>Risk Code</b>	CPR1920 5.1	<b>Risk Title</b>	Community Cohesion & Engagement	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Community Cohesion & Engagement			<b>Assigned To</b>	Rob Barnes; Tina Mustafa; Joanne Sands
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Corporate project to be scoped 2020/21 Neighbourhood working and collaborative arrangements currently under review ASB policy Partnership working Financial inclusion policy Community engagement - locality Corporate consultation database Participatory budgeting Tamworth advice centre Dementia friendly status VCSE Commissioning Grants Review Review TCO		<b>Current Risk Score</b>	9
<b>Gross Severity</b>	3			<b>Current Severity</b>	3
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	3
<b>Gross Risk Review Date</b>	16-Oct-2018			<b>Last Risk Review Date</b>	29-Jan-2020
<b>Consequences</b>	Long term costs Not meeting/understanding users needs Increase in crime and disorder Poor use of funding Increased tensions in the community Failure to meet demand Fear of perception of crime				
<b>Vulnerabilities/causes</b>	Economic recession Poverty Welfare reforms Services withdrawn Communities become fragmented Links to Customer Engagement Strategy around building local resilience				
<b>Risk Notes</b>	Neighbourhood offer and corporate project 2020/21 with 2019/20 focussed on baseline data, warden offer, relocation of key partnership and neighbourhood teams. Targeted use of HRA neighbourhood investment fund. <i>No Change</i>				


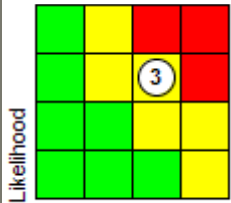



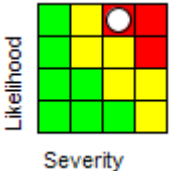
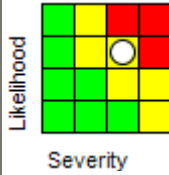
The risks have been reviewed and there are no changes


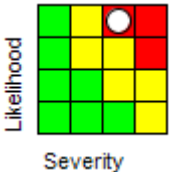
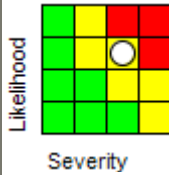
<b>Risk Code</b>	CPR1920 5.2	<b>Risk Title</b>	Safeguarding Children & Adults (including Modern Slavery)	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Safeguarding Children & Adults at Risk of Abuse & Neglect m(including Modern Slavery)			<b>Assigned To</b>	Rob Barnes; Joanne Sands
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Policy in place Training for staff and members Appropriate risk assessments completed Senior leadership commitment Safe recruitment process Supervision of staff, contractors and volunteers Partnership groups around vulnerability		<b>Current Risk Score</b>	6
<b>Gross Severity</b>	4			<b>Current Severity</b>	2
<b>Gross Likelihood</b>	3			<b>Current Likelihood</b>	3
<b>Gross Risk Review Date</b>	16-Oct-2018			<b>Last Risk Review Date</b>	30-Jan-2020
<b>Consequences</b>	Death, serious injury Legal challenge Loss of reputation Prosecution Increase in inspection Increase in demand				
<b>Vulnerabilities/causes</b>	Non-compliance with legislation Lack of appropriate policy and procedures Low awareness amongst staff and members Lack of joined up case management Case management systems unable to share data or support risk management Lack of appropriate services Gaps in service provision				
<b>Risk Notes</b>	No change The risks have been reviewed and no changes identified				


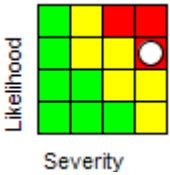
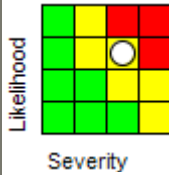
<b>Risk Code</b>	CPR1920 5.3	<b>Risk Title</b>	Emergency Planning	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Emergency Planning			<b>Assigned To</b>	Rob Barnes; Tina Mustafa
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	<p>Emergency Plan in place</p> <p>Emergency planning training completed at various levels</p> <p>Business Continuity Plans in place</p> <p>Comprehensive review of corporate business continuity with representation across all directorates. Policy, terms of reference and testing schedule</p> <p>Active engagement in Exercises</p> <p>Insurance cover in place to cover exposure to financial loss.</p> <p>Advice and guidance on Risk Management and Business Continuity on the intranet</p> <p>Emergencies advice available on website</p> <p>Building- fire prevention controls in place and tested on a regular basis</p> <p>Adequate physical security controls in place and reviewed on a regular basis.</p> <p>IT business continuity plan in place and tested on a regular basis</p> <p>Service impact analysis completed to rank priority of services</p> <p>Corporate business continuity plan in place</p> <p>All communication plans tested on a regular basis</p> <p>Emergency plan tested on a regular basis</p> <p>Business Continuity Group</p> <p>Membership of Staffordshire CCU &amp; Resilience Forum</p> <p>Effective communication /ICT tools/ infrastructure eg mobile phones, laptops</p> <p>Representation at newly formed CCU Strategic Leaders Meeting</p> <p>Successful no notice test</p> <p>Learning from recent incidents - informing preparedness</p> <p>Comprehensive internal audit across BC and EP resulting in a number of agreed management actions</p> <p>Emergency Planning Admin all brought into ICT</p> <p>Actual ICT Disaster recovered from within appropriate timescales</p> <p>Attendance at SRF meetings</p>		<b>Current Risk Score</b>	6
<b>Gross Severity</b>	4			<b>Current Severity</b>	3
<b>Gross Likelihood</b>	3			<b>Current Likelihood</b>	2
<b>Gross Risk Review Date</b>	10-Oct-2018			<b>Last Risk Review Date</b>	30-Jan-2020
<b>Consequences</b>	<p>Services not delivered</p> <p>Damage to reputation</p> <p>Civil Contingency Act requirements not met</p> <p>Death</p> <p>Destruction of property</p>				

	<p>Damage to the environment          Adverse affect on vulnerable groups          Public expectations of service delivery not met          Increased costs for alternative service delivery          Interim arrangements from CCU until December 2020.          Full audit 2020/21</p>
<b>Vulnerabilities/causes</b>	<p>Lack of integrated emergency arrangements making it difficult to react quickly to a disaster and provide the required support and essential service in line with the requirements of the Civil Contingencies Act.          Failure to test plans          Failure to undertake training          Plans not activated          plans do not accurately identify the staffing/resources required          Implications of industrial action from other service providers ie Fire Service</p>
<b>Risk Notes</b>	<p>No Change          The emergency plans are in place but are currently being reviewed by Alex from the CCU - TM. System updated by RB as TM experiencing access issues</p>


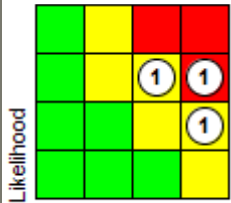
<b>Risk Code</b>	CPR1920 6	<b>Risk Title</b>	Economic Growth & Sustainability	<b>Current Risk Status</b>	
<b>Description of Risk</b>	To ensure that the economic growth and sustainability of the borough is maintained			<b>Assigned To</b>	
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	 <p>Likelihood</p> <p>Severity</p>
<b>Gross Risk Score</b>				<b>Current Risk Score</b>	
<b>Gross Severity</b>				<b>Current Severity</b>	
<b>Gross Likelihood</b>				<b>Current Likelihood</b>	
<b>Gross Risk Review Date</b>				<b>Last Risk Review Date</b>	
<b>Consequences</b>					
<b>Vulnerabilities/causes</b>					
<b>Risk Notes</b>					


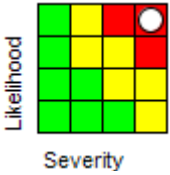
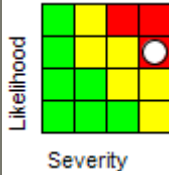
<b>Risk Code</b>	CPR1920 6.1	<b>Risk Title</b>	Regeneration	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Regeneration			<b>Assigned To</b>	Andrew Barratt; Matthew Bowers; Anna Miller
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Long term investment Safe and secure estate Support to local businesses Tamworth and Lichfield for business Town centre and tourism development		<b>Current Risk Score</b>	9
<b>Gross Severity</b>	3			<b>Current Severity</b>	3
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	3
<b>Gross Risk Review Date</b>	10-Oct-2018			<b>Last Risk Review Date</b>	30-Jan-2020
<b>Consequences</b>	Deprivation Loss of footfall to retail areas Reputational damage				
<b>Vulnerabilities/causes</b>	Lack of investment in the borough No investment in the town centre				
<b>Risk Notes</b>	No Change Continue to offer business grants and signposting to businesses. Investment in town centre site				


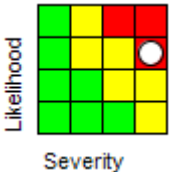
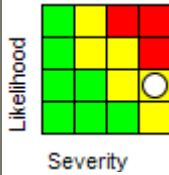
<b>Risk Code</b>	CPR1920 6.2	<b>Risk Title</b>	Housing Needs	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Housing Needs			<b>Assigned To</b>	Rob Barnes; Tina Mustafa; Joanne Sands
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Homelessness strategy under review. Evidence base being updated HRA Business lan updated and Investment plan agreed Third Sector support and early help development Investment and partnership to deliver new affordable homes New Allocations Policy Allocations Policy under review - developed project Plan Rough sleeping Assessment Housing Strategy commissioning		<b>Current Risk Score</b>	9
<b>Gross Severity</b>	3			<b>Current Severity</b>	3
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	3
<b>Gross Risk Review Date</b>	10-Oct-2018			<b>Last Risk Review Date</b>	29-Jan-2020
<b>Consequences</b>	Impact of housing need and homelessness on households Additional demand for Council services Additional demand on 3rd Sector Statutory agencies Overcrowding and wellbeing impact of poor housing				
<b>Vulnerabilities/causes</b>	Lack of accessible homes Lack of affordable homes Poor conditions in the Private Sector Increased homelessness				
<b>Risk Notes</b>	Assistant Director - Neighbourhoods on MHCLG working group of Government review HRA 2017. Key corporate projects - Housing Strategy, Homelessness & Allocations review 2019/20. HQN engaged to update evidence base, principles for consultation and plans to adopt revised strategies 2020/21. No changes required Reviewed by TM adequate controls still in place. system updated by RB as TM experiencing access issues				


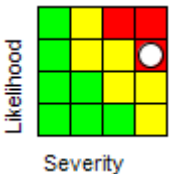
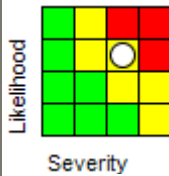
<b>Risk Code</b>	CPR1920 6.3	<b>Risk Title</b>	Economic Changes	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Economic Changes			<b>Assigned To</b>	Andrew Barratt; Matthew Bowers; Anna Miller
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Support to local businesses Business and economic partnership Business growth programme Growth hub Start up business grants for small business Tamworth enterprise centre		<b>Current Risk Score</b>	9
<b>Gross Severity</b>	4		<b>Current Severity</b>	3	
<b>Gross Likelihood</b>	3		<b>Current Likelihood</b>	3	
<b>Gross Risk Review Date</b>	10-Oct-2018		<b>Last Risk Review Date</b>	30-Jan-2020	
<b>Consequences</b>	No external funding to aid economy and growth Economic prosperity declines Increased demand for social housing Increased costs to council services due to increased demand				
<b>Vulnerabilities/causes</b>	Failure to recognise economic changes Sudden economic downturn affecting businesses Loss of major employer in the region Failure to recognise opportunities Rapid increase in inflation Changes in government funding/grants Collapse/decline of property market				
<b>Risk Notes</b>	No Change mindful of Brexit consequences in short and long term. working with partners to promote awareness and consider impacts. offer business grants and signposting to other agencies for support				





<b>Risk Code</b>	CPR1920 7	<b>Risk Title</b>	Information Safeguarding	<b>Current Risk Status</b>	
<b>Description of Risk</b>	To ensure that our data is protected			<b>Assigned To</b>	
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>				<b>Current Risk Score</b>	
<b>Gross Severity</b>				<b>Current Severity</b>	
<b>Gross Likelihood</b>				<b>Current Likelihood</b>	
<b>Gross Risk Review Date</b>				<b>Last Risk Review Date</b>	
<b>Consequences</b>					
<b>Vulnerabilities/causes</b>					
<b>Risk Notes</b>					


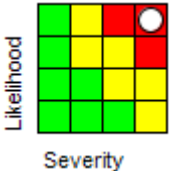
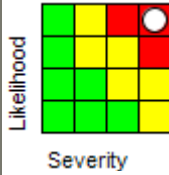
<b>Risk Code</b>	CPR1920 7.1	<b>Risk Title</b>	Data Protection and information Safeguarding	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Data Protection			<b>Assigned To</b>	Anica Goodwin; Zoe Wolicki
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	16	GDPR training Secure password protocol IT physical controls Encryption protocols secure wifi ICO protocol Penetration testing GDPR implemented & ongoing quarterly review & action plan in place Data Protection Officer in place & appropriate backup arrangements Senior management support Comprehensive training plan for staff and members Privacy impact assessments completed for new Projects/implementation/solutions Data sharing protocols PSN compliance Review Access to Systems Building access and security procedures Training review and management of assets		<b>Current Risk Score</b>	12
<b>Gross Severity</b>	4			<b>Current Severity</b>	4
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	3
<b>Gross Risk Review Date</b>	05-Sep-2018			<b>Last Risk Review Date</b>	11-Jan-2020
<b>Consequences</b>	Reputational damage Fine				
<b>Vulnerabilities/causes</b>	Human error Virus/hacking				
<b>Risk Notes</b>					


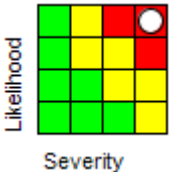
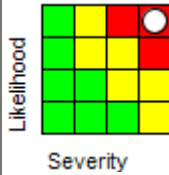
<b>Risk Code</b>	CPR1920 7.2	<b>Risk Title</b>	Cyber Security	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Cyber Security			<b>Assigned To</b>	Anica Goodwin; Zoe Wolicki
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	GDPR compliance and training GCSX PSN compliance Physical security Business continuity plans Penetration testing Firewalls Anti virus software Up to date patching of servers & desktops		<b>Current Risk Score</b>	8
<b>Gross Severity</b>	4			<b>Current Severity</b>	4
<b>Gross Likelihood</b>	3			<b>Current Likelihood</b>	2
<b>Gross Risk Review Date</b>	05-Sep-2018			<b>Last Risk Review Date</b>	11-Jan-2020
<b>Consequences</b>	Fine Reputational damage Potential imprisonment Loss of data Inability to deliver service				
<b>Vulnerabilities/causes</b>	Insecure IT equipment Human error Loss of equipment/data Theft Equipment failure Hacking/viruses				
<b>Risk Notes</b>					

<b>Risk Code</b>	CPR1920 7.3	<b>Risk Title</b>	Business Continuity	<b>Current Risk Status</b>	
<b>Description of Risk</b>	Business Continuity			<b>Assigned To</b>	Rob Barnes; Paul Weston
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	12	Emergency plan in place Business continuity plans in place Insurance cover Advice and guidance on risk management and business continuity Fire prevention controls Physical building controls on place Communication plan Business continuity group Membership of Staffordshire CCU & resilience forum Learning from previous incidents - informing level of preparedness Support from CCU Link Officer Off site plans Multi agency exercises		<b>Current Risk Score</b>	9
<b>Gross Severity</b>	4		<b>Current Severity</b>	3	
<b>Gross Likelihood</b>	3		<b>Current Likelihood</b>	3	
<b>Next Risk Review Date</b>	10-Oct-2018		<b>Last Risk Review Date</b>	29-Jan-2020	
<b>Consequences</b>	Services not delivered Damage to reputation Civil contingency act obligations not met Death Destruction of property Damage to the environment Adverse affect on vulnerable groups Public expectations of service delivery not met Increased costs for alternative service delivery				
<b>Vulnerabilities/causes</b>	Lack of integrated emergency arrangement making it difficult to react Failure to test plans Failure to undertake training Plans not activated Plans do not accurately identify the staffing/resources required Implications of industrial action from other service providers eg fire service Lack of staff engagement in BC Group				
<b>Risk Notes</b>	New business continuity group established. Terms of reference being updated. Key business continuity plans under review.				

	Programme for business continuity reviews under way.
	No change from previous assessment. Programme of BC reviews to be implemented and will focus on priority areas.
	No changes as per PW

<b>Risk Code</b>	CPR1920 8	<b>Risk Title</b>	Brexit	<b>Current Risk Status</b>	
<b>Description of Risk</b>	The Impact of Brexit upon the Council			<b>Assigned To</b>	Andrew Barratt; Stefan Garner
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	 <p>Likelihood</p> <p>Severity</p>
<b>Gross Risk Score</b>	16			<b>Current Risk Score</b>	
<b>Gross Severity</b>	4			<b>Current Severity</b>	
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	
<b>Gross Risk Review Date</b>	28-Mar-2019			<b>Last Risk Review Date</b>	
<b>Consequences</b>					
<b>Vulnerabilities/causes</b>					
<b>Risk Notes</b>					

<b>Risk Code</b>	CPR1920 8.1	<b>Risk Title</b>	Financial	<b>Current Risk Status</b>	
<b>Description of Risk</b>				<b>Assigned To</b>	Andrew Barratt; Stefan Garner
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>		<b>Current Risk Matrix</b>	
<b>Gross Risk Score</b>	16	Implications reassessed as part of the budget setting process and quarterly MTFS updates		<b>Current Risk Score</b>	16
<b>Gross Severity</b>	4			<b>Current Severity</b>	4
<b>Gross Likelihood</b>	4			<b>Current Likelihood</b>	4
<b>Gross Risk Review Date</b>	15-Mar-2019			<b>Last Risk Review Date</b>	30-Jan-2020
<b>Consequences</b>	<p>Reduced level of economic growth and further austerity/prosperity/downturn in the economy  Changes to central government policy  EU grants cease  Reduced base interest rate  NNDR / Council Tax targets not achieved with increased bankruptcy / Liquidations  Government has awarded £51k for EU exit preparations  Contract failure due to Brexit impact on core suppliers</p>				
<b>Vulnerabilities/causes</b>	<p>Uncertainty over the impact of Brexit has increased the financial uncertainty for the UK - reduced levels of economic growth, changes to central government policy, EU grants ceased, reduced interest, employment uncertainty</p>				
<b>Risk Notes</b>	<p>The UK left the EU on the 31/01/2020 and are now in a transitional period until the 31/12/20 during this period we will be able to more accurately assess the implications if any for our area.</p> <p>The current risk is recorded at the maximum risk level as the impact and options to mitigate are currently unknown</p>				

<b>Risk Code</b>	CPR1920 8.2	<b>Risk Title</b>	The Impact of Brexit upon the Council	<b>Current Risk Status</b>	
<b>Description of Risk</b>				<b>Assigned To</b>	Andrew Barratt; Stefan Garner; Paul Weston
<b>Gross Risk Matrix</b>		<b>Risk Treatment Measures Implemented</b>			
<b>Gross Risk Score</b>	16	<p>Monitoring of the political process</p> <p>Central log/register issue that may impact as they develop Take opportunity to realise any additional funding made available to mitigate negative impacts</p> <p>Involvement and updates to Local and Regional Resilience forums.</p> <p>Risk assessments in place.</p> <p>Engagement with regional local forums.</p> <p>Regular reporting to central government.</p> <p>Dissemination of all information to CMT.</p> <p>Regular communication updates to business and residents.</p> <p>Uncertainty still noted by no reduction in risk.</p> <p>Information from MHCLG shared regularly with appropriate officers.</p> <p>Legislative impact done for housing related policies i.e, Settlement scheme for housing associations.</p> <p>Use of growth hub advisors to communicate with businesses.</p> <p>Use of website to pass on key messages.</p>	<b>Current Risk Matrix</b>		
<b>Gross Severity</b>	4		<b>Current Risk Score</b>	16	
<b>Gross Likelihood</b>	4		<b>Current Severity</b>	4	
<b>Gross Risk Review Date</b>	08-Jul-2019		<b>Current Likelihood</b>	4	
<b>Last Risk Review Date</b>				<b>Last Risk Review Date</b>	30-Jan-2020
<b>Consequences</b>	<p>Potential tightening of the Labour market</p> <p>Delay in works due to supply delays</p> <p>Restricted economic growth of the Local Area as the new trading arrangements settle in and business confidence is re-established.</p>				
<b>Vulnerabilities/causes</b>					
<b>Risk Notes</b>	<p>The UK left the EU on the 31/01/2020 and are now in a transitional period until the 31/12/20 during this period we will be able to more accurately assess the implications if any for our area.</p> <p>The current risk is recorded at the maximum risk level as the impact and options to mitigate are currently unknown</p>				





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